

Eur päisches Patentamt

European Patent Office

Office européen des brevets



(11) EP 0 966 966 A2

(12)

Ţ

EUROPEAN PATENT APPLICATION

(43) Date of publication.29.12.1999 Bulletin 1999/52

- (51) Int. Cl.6: A61K 31/495, A61K 9/22
- (21) Application number 99110777.2
- (22) Date of filing 04.06.1999
- (84) Designated Contracting States:

 AT BE CHICY DE DK ES FI FR GB GR IE IT LI LU

 MC NL PT SE

 Designated Extension States:

 AL LT LV MK RO SI
- (30) Priority 05.06.1998 US 88211 P
- (71) Applicant
 BRISTOL-MYERS SOUIBB COMPANY
 Princeton, NJ 08543-4000 (US)

- (72) Inventors:
 - Dennis, Andrew B.
 Barnston, Wirral, Merseyside L60 1YD (GB)
 - Timmins, Peter Irby, Wirral, Merseyside L61 4XD (GB)
 - Hodsdon, Alison C.
 Sr.Leonard's-on-Sea,East Sussex TN38 9SN (GB)
- (74) Representative: VOSSIUS & PARTNER Siebertstrasse 4 81675 München (DE)

(54) Nefazodone dosage form

(57) Extended-release netazodone compositions containing netazodone hydrochloride, ionic and non-ionic gelling polymers, an insoluble hydrophilic agent, and optional pharmaceutically acceptable excipients demonstrate pH-modulated release of nefazodone. These compositions are formulated into unit dosage forms for improved oral administration. The improvements comprise an extended drug release profile providing comparative levels of nefazodone with respect to immediate release dosage forms and, additionally, demonstrating the lack of a food effect.

Description

[0001] This application is a continuation-in-part of U.S. serial number 60/088,211 provisionally filed June 5, 1998.

[0002] The invention relates to an extended-release formulation, preferably in the form of a tablet or other oral dosage form, for slowly releasing the medicinal agent, nefazodone.

[0003] Nefazodone (SERZONE®), 2-[3-[4-(3-chlorophenyl)-1-piperazinyl]-propyl-]5-ethyl-2,4-dihydro-4-(2-phenoxy-ethyl)-3*H*-1,2,4-triazol-3-one hydrochloride is a novel antidepressant chemically unrelated to tricyclic or tetracyclic antidepressants and the selective serotonin uptake inhibitors in current use. Its activity appears to be linked to the potentiation of serotonergic activity *in vivo* as it blocks serotonin 5-HT₂ receptors and reversibly inhibits serotonin re-uptake. It does not inhibit monoamine oxidase and exhibits decreased anticholinergic, antihistamine, alpha-adrenergic and sedative activity relative to tricyclic antidepressants.

[0004] Currently nefazodone hydrochloride is available in the form of immediate-release tablets, which have to be dosed twice daily. Multiple (two or more) step titration to an effective dose is often needed to allow administration of a clinically effective dose while allowing development of tolerance to the serotonergic effects of the drug that some patients find uncomfortable during the initiation of treatment with nefazodone. A once daily formulation that (relative to equivalent doses of the immediate-release formulation) reduced or "blunted" plasma peak levels but still provided for appropriate exposure to the drug (similar area under the plasma drug concentration-time curve compared to equivalent doses of the drug given twice a day as the currently available immediate-release formulation) is very desirable.

[0005] There are several difficulties associated with the formulation of a nefazodone product for oral administration that allows the reliable prolonged delivery of drug required to permit once daily dosing. These are:

- drug metabolism
- drug solubility

25

total daily dose.

Drug metabolism

- 30 [0006] Nefazodone exhibits a significant first-pass metabolism, with the result that the immediate-release tablets show a bioavailability of approximately 20% and significant levels of three pharmacologically-active metabolites, a triazoledione, hydroxynefazodone and m-chlorophenylpiperazine (mCPP). (1,2) It is often the case that extended-release of drugs subject to a first pass metabolism results in an increase in the extent of metabolism. Highly metabolized drugs are thus often considered inappropriate candidates for formulation as extended-release systems (3).
 - [0007] It has been suggested that the metabolite mCPP may be responsible for some of the undesirable effects associated with nefazodone administration. Nefazodone is in fact generally regarded as a well-tolerated drug when given in clinically effective doses of up to 450 mg three times a day. The metabolite mCPP is a partial agonist at the 5-HT_{2B} and 5-HT_{2C} receptors and has some activity, usually seen as antagonist activity, at 5-HT_{2A} receptors (4,5,6,7). In rodents it has anxiogenic-like properties, causes hypoactivity, hypophagia, oral dyskinesia, penile erection and hyperthermia (8,9). A dose-dependent hypoglycemic effect of mCPP mediated through 5-HT_{2C} or 5HT_{2B} receptors is seen in rats (10). It has been shown to increase anxiety in humans (and can cause panic attacks), may precipitate migraine attacks in those susceptible to such attacks, can disrupt sleep, be hypophagic in humans also and may have psychotogenic effects (9). As many of these effects are antagonistic to the beneficial effects of nefazodone, and because some of the described effects of mCPP are reminiscent of some of the adverse effects of nefazodone, an objective of this invention would be to sustain release of the drug substance without increasing the amount of this metabolite produced relative to that seen with the immediate-release formulation following oral administration of the drug.
 - [0008] Nefazodone exhibits non-linear kinetics, with the observed increase in nefazodone plasma concentrations being greater than would be expected if they were proportional to the increase in dose (11). Nefazodone is metabolized by and also inhibits cytochrome P450 (CPY) 3A4. This isozyme is also responsible for the further metabolism of the triazoledione and hydroxynefazodone. Nefazodone is also a weak inhibitor of CYP2D6, which is responsible for the metabolism of mCPP (12). Because nefazodone can inhibit its own metabolism (and that of its metabolites) and because the metabolism can be saturated, non-linear pharmacokinetics of the drug result. This manifests itself as significant inter- and intra-individual variation in standard drug pharmacokinetic parameters such as AUC and Cmax, meaning that titration of the drug dose is required to maximize efficacy while minimizing undesirable effects.
- [0009] We have found that by preparing a formulation that provides for careful control of the absorption rate of nefazodone from an orally administered dose form, it is possible to avoid significant loss of bioavailability of the drug. This is contrary to typical expectation for the administration of a highly metabolized drug in an extended-release formulation. Also in a distinction from prior art for formulating extended-release dosage forms of arylpiperazine psychotropic agents

as exemplified by buspirone in U.S. 5,431,922, no significant increase in bioavailability is seen either. This is advantageous, permitting one to avoid adjusting the dose when transferring from immediate-release to extended-release nefazodone formulations of the current invention. Control of absorption rate by means of the novel formulation also results surprisingly in a reduction of the metabolite mCPP, which may result in reduced frequency and intensity of undesirable effects following oral administration of nefazodone.

Drug solubility

[0010] Nefazodone hydrochloride exhibits poor solubility in water, being defined as "sparingly soluble" under USP criteria. It has a dissociation constant (pKa=6.4) within the range of physiological pH, which means the solubility of this basic drug decreases further as pH is increased during transit from the stomach to the small intestine and colon. Therefore, the rate of release from a nefazodone extended-release dosage form cannot be controlled readily by oral formulation systems that rely on release of drug by diffusion. Matrix tablet systems based on hydrophobic polymers or waxy materials show a significant reduction in drug release as the pH of the release medium (including physiological fluids in vivo) increases to and exceeds the pKa value of nefazodone HCl. Conventional hydrogel matrix systems also behave in a similar manner and would face additional problems associated with the low water solubility of nefazodone HCI. These types of systems work well with more water soluble drugs, where drug release mechanism is based on diffusion of drug (in vitro and in vivo) from the hydrated matrix and can be more readily controlled by adjustment of viscosity and amount of polymer used in the formulation. Single polymer hydrophilic matrix systems based on non-ionic materials such as polyethylene oxide, high viscosity hydroxypropylmethylcellulose or hydroxypropylcellulose usually rely on diffusional control of release for drugs with adequate solubility in the physiological range. These systems are not readily adaptable to drugs with the solubility characteristics of nefazodone. Barrier coated pellet systems (for subsequent encapsulation or inclusion in tablet formulations) also work best with relatively water soluble drugs and are not suitable for nefazodone hydrochloride without using adjuvants in the formulation that enhance solubility of nefazodone in water. Such adjuvants would significantly increase the bulk of the formulation leading to unacceptably large tablets or capsules given the amount of nefazodone HCI required per dose.

[0011] Osmotic pump systems may not be readily adaptable for nefazodone because of the changing solubility with pH which may, in part, alter the osmotic driving force for drug release associated with solubility of the drug. Also, the total daily doses of nefazodone (200 to 600 mg) used in controlling the disorders for which the drug is effective may not be easily contained in a conveniently sized dosage form because of the levels of additional excipients these type of systems normally require for their functioning.

[0012] Using specific combinations of ionic and non-ionic gelling polymers, we have prepared pH-modulated hydrophilic matrix tablet formulations. These types of formulations are sensitive to the pH of the external environment and adjust their release rate in response to pH changes. As a result, these dosage forms control the release of nefazodone *in vitro* and *in vivo* in a way which overcomes the problems of preparing an extended-release formulation of nefazodone, with its low water solubility and with marked pH-dependent solubility in the physiological pH range.

Drug doses

[0013] Nefazodone daily doses fall within the range of 200 to 600 mg for the twice daily, immediate-release systems. It could be possible to contain all but the uppermost end of this range in a single unit dosage form, especially if that were a tablet formulation, for some types of controlled-release system. A dosage form containing 400 to 500 mg of drug might be expected to weigh less than 1000 mg. However, as has been pointed out above, reducing the rate of nefazodone release into the gastrointestinal tract from a controlled-release dosage form might be expected to increase the extent of drug metabolism. This would mean that for nefazodone, formulated into many existing controlled-release systems, the amount of drug administered, in order to provide for plasma levels similar to the immediate-release formulation, would have to be increased. Increased drug amounts would require higher payloads for any delivery system and unit size would increase to an unacceptable point. As a result, the total daily dose would have to be provided within two or more dosage units. Furthermore, having to change total daily dose in switching from immediate-release nefazodone to the controlled-release formulation is undesirable as this can be confusing to the patient and difficult for the prescribing physician. A delivery system that could minimize the increase in extent of metabolism and/or loss of bioavailability resulting from a controlled-release formulation is therefore highly desirable.

[0014] The extended-release nefazodone formulation of the present invention employs a novel pH-modulated release mechanism. It can be distinguished from prior release-extending mechanisms such as described in U.S. 4,792,452 to Howard, et al. which involve pH-independent release.

[0015] Nefazodone has been disclosed previously in connection with a sustained-release oral dosage form. WO 97/47285 discloses delivery systems intended to release drug at a controlled rate in the stomach or upper intestine exclusively. Retention in the stomach is promoted by inclusion of a chemical agent that induces the stomach to function

in the fed (as opposed to fasted) mode. Several classes of agents are disclosed as providing this effect including serotonin receptor antagonists, of which nefazodone is listed as one of the members of this class. In effect, nefazodone is not the active drug whose release is being controlled, but instead is included as an ingredient for its postulated effect on the stomach.

- [0016] In summary nothing in the prior art suggests the novel pH-modulated nefazodone extended-release formulations of the present invention. Specifically, overcoming the inherent difficulties presented with the metabolism, solubility and magnitude of dose characteristics of nefazodone, as well as maintaining comparable bioavailability of parent drug while reducing undesirable metabolite levels, underscores the novelty and inventive step associated with these new formulations.
- [0017] It has been discovered that nefazodone can be orally administered in once-a-day extended-release (ER) dosage forms which contain nefazodone hydrochloride, ionic and non-ionic gelling polymers, an insoluble hydrophilic agent, and suitable amounts of one or more pharmaceutically acceptable excipients. By adjustment of the relative amounts of the ingredients, controlled-release of nefazodone in a pH-modulated manner is achieved. This release mechanism provides a means to overcome formulation problems associated with nefazodone's metabolism, solubility, and required dosage levels that prevented earlier development of an acceptable ER formulation for nefazodone.
 - [0018] The present invention concerns pH-modulated controlled-release pharmaceutical formulations containing nefazodone hydrochloride as the active drug. These formulations, in turn, result in dosage forms and a method of orally administering netazodone that have several advantages over immediate-release nefazodone systems. In addition, these new formulations of netazodone permit use of acceptably-sized oral dosage forms to provide the total daily dose of netazodone required. Results of in vivo dosing of the new nefazodone ER dosage forms indicate that no significant alternation of netazodone content is required for ER dosing compared with nefazodone immediate-release dosing.
 - [0019] The pharmaceutical tormulations employ a mixture of non-ionic polymers and ionic polymers along with an insoluble hydrophilic agent to encourage water penetration into the dosage form but not cause its ready disintegration. To control the rate of absorption of nefazodone the non-ionic polymer, ionic polymer and insoluble hydrophilic agent must be used in ratios that maintain required, though not necessarily similar, release rates of the drug at the different pH values found within the gastrointestinal tract. The dosage form must erode at a required rate to deliver nefazodone for absorption at an optimal rate as drug is released from the dosage form under the pH conditions prevalent in the intestine, principally by erosion of the mixture of polymers and insoluble hydrophilic agent.

[0020] In representative embodiments, extended-release oral dosage forms for nefazodone administration contain, in weight percents (wt %)

- (a) about 33 to 45 5% netazodone hydrochloride;
- (b) about 16 to 33% of a non-ionic gelling polymer;
- (c) about 10 to 21% of an ionic gelling polymer;
- (d) about 16 to 22% of an insoluble hydrophilic agent; and
- (e) suitable amounts of one or more pharmaceutically acceptable excipients such as magnesium stearate for lubrication, a colorant, and the like
- [0021] The non-ionic getling polymer is preferably hydroxypropylmethycellulose (HPMC) having a viscosity (for a 2% solution) in the range of about 3 to 1000 cps. Preferentially, the HPMC component is comprised of about 1 part by weight of 5 cps viscosity polymer and 2 parts by weight of 100 cps viscosity.
- [0022] The ionic gelling polymer is a salt of alginic acid such as the potassium or, preferably, the sodium salt. The most preferred polymer is sodium alginate with a viscosity of about 9 cps (for a 1% solution).
- [0023] The insoluble hydrophilic agent of preference is microcrystalline cellulose. In embodiments of the present invention magnesium stearate was employed as a lubricant and was present in about 0.2 to 1.5 wt %. Optionally, other pharmac utically acceptable excipients could also be included.
- [0024] The new nefazodone formulations can be used for preparation of unit dosage forms for oral administration. For exampl , tablets can be formed by compression. Such tablets can optionally be coated in standard fashion. A preferred coating would involve use of the aqueous-based proprietary film coat composition OPADRY white YS-1-18019.
- [0025] Administration of the new nefazodone ER dosage forms provide advantages of convenience, improved patient compliance, a potential for lower incidence of adverse effects (due to decreased levels of m-chlorophenylpiperazine (M-CPP)), and lack of significant food effects on dosing.
 - [0026] The release rates of the new nefazodone formulations are different at different pH values; i.e., the system is pH-modulated rather than pH independent. This is a distinction from some prior art extended-release formulations for

35

drugs of basic character like nefazodone which are formulated to have pH-independent drug release characteristics (for example U.S. 4,792,452, which uses sodium alginate and hydroxypropylmethylcellulose to achieve pH-independent rather than pH-modulated drug release). In those previous formulations we have found nefazodone pharmacokinetics to exhibit marked dependency on whether the dosage form is administered in the fed state or in the fasted state. Preferred formulations of the present invention release contained drug at the following rates in an *in vitro* dissolution test. The *in vitro* test employed is based on the apparatus described in the United States Pharmacopoeia, method 1 with media of various pH values being employed as shown in Table 1 below. The stirring speed was 200 rpm. The amount of drug released into the dissolution medium at the listed time-points was determined by UV spectroscopy.

.

Table 1

	Time (hours)	Cumulative % Nefazo- done Released
pH 2.0	0.5	3 to 12
<u> </u>	1	7 to 16
	2	15 to 22
	4	20 to 35
	6	24 to 50
	8	35 to 70
	12	45 to 90
	16	52 to 100
pH 4.5	0.5	5 to 18
	1	10 to 22
	2	15 to 38
	4	20 to 65
	6	25 to 85
	8	40 to 95
	12	55 to 100
	16	65 to 100
pH 6.8	0.5	8 to 22
	1	16 to 48
	2	30 to 70
	4	55 to 100
	6	75 to 100
	8	85 to 100

[0027] Nefazodone ER dosage forms of this invention, e.g. as described in the <u>Specific Embodiments</u>, liberate their contained drug at rates slower than immediate-release nefazodone formulations but at rates which provide for the desired plasma concentration-time curve, wherein there is not a remarkable reduction in bioavailability as might be anticipated with typical extended-release systems.

[0028] For comparative purposes, a controlled-release reference formulation of nefazodone (nefazodone-CR) based on non-ionic gelling polymers along with typical tablet excipients was prepared having a composition as shown below:

55

10

15

20

30

35

40

Standard Controlled-release Nefazodone Reference Formulation (Nefazodone CR)		
Ingredient Quantity per Tab		
Hydroxypropylcellulose NF	75 mg	
Hydroxypropylmethylcellulose 2208 NF, 4000 cps grade	100 mg	
Nefazodone hydrochloride	400 mg	
Povidone USP	20 mg	
Magnesium stearate NF	5 mg	

[0029] Either this standard nefazodone CR formulation, or the marketed immediate-release nefazodone formulation, was administered to human volunteers on a once daily basis both under fasted and fed conditions. Serial plasma samples were taken at appropriate intervals and analyzed for nefazodone. The standard controlled-release formulation of nefazodone showed a relative bioavailability of 28% (compared with the immediate-release formulation). Furthermore, this formulation also exhibited a marked food effect, with the relative bioavailability increasing to 66% when taken with a high fat meal. (See Table 2)

Table 2

Mean Pharmacokinetic Parameters of Nefazodone from Example Standard CR Formulation			
Cmax (ng/ml) AUC (ng.hr/ml) Relative bioavailability (%)*			
Fasted	130.8	1496	28
Fed	575.3	3514	66

relative to same dose of immediate-release netazodone formulation (in a single dose study)

[0030] This is an important result as a patient stabilized in a regimen using such a controlled-release dosage form administered in the fasted state might experience peak plasma drug concentration-related side effects if the administration was altered by the medication being taken with food. Conversely, a patient stabilized in a regimen based on such a dosage form being administered in the fed state might experience failure of therapy if the patient switched to taking the medication without food.

[0031] The development of an extended-release pharmaceutical formulation often involves a hydrophilic polymer matrix system. These formulations tend to involve uncomplicated, inexpensive manufacturing processes, but previous exp ri nce, based on prior art approaches, has shown that these types of formulations exhibit significant effects on drug pharmacokinetics. Large variations in PK parameters arise depending on whether the formulation is given with food or not. Such food effects can lead to inconvenience in establishing dosing regimens for patients.

[0032] Hydrophilic matrix extended-release formulations of a drug of low solubility, such as nefazodone HCl, and providing for reproducible behavior irrespective of whether the drug is taken with food or without food are, in general, xtremely difficult to formulate. The mechanism of drug release for formulations utilizing these delivery systems is principally ne of erosion of the matrix. Thus, a notable food effect might be expected, although its relative magnitude will depend on various physicochemical properties of the drug substance in question. For example, nifedipine (defined as being practically insoluble in water in the USP) was shown to exhibit a notable food effect when administered as a hydrophilic matrix extended-release formulation (Abrahamsson et al., <u>J. Controlled Release</u>, 1998, 52, 301-310). The area under the curve (AUC) increased by 75%, relative to data obtained in the fasted state, when the nifedipine hydrophilic matrix extended-release formulation was dosed in the fed state.

[0033] A pH modulated extended-release nefazodone formulation (nefazodone ER) according to the current invention (200 mg per dosage unit) given in the fasted state was compared in human volunteers with conventional immediate-

10

15

25

30

35

release nefazodone (nefazodone IR: 100 mg per dosage unit) given in the fasted state as two separate doses 12 hours apart. Analysis of drug and metabolites in the plasma of the volunteers after administration of the drug allowed the following pharmacokinetic parameters to be determined as shown in Table 3.

Table 3

Nefazodone Pharmacokinetic Parameters: ER v. IR Extended-release nefazodone (200 mg dose given a.m.):				
	Cmax (ng/ml)	tmax (hours)	AUC (h.ng/ml)	F (%)*
Nefazodone	195	5	1267	77
hydroxy-nef	65	5	352	54
Dione	453	4	5699	55
mCPP	16	5	114	50
Immediate-release nefazodone (100 mg dose):				
Nefazodone	310	2	1627	
hydroxy-nef	97	2	642	
Dione.	710	2	10617	
mCPP	24	2	259	

relative to the immediate-release formulation

[0034] The pH modulated extended-release system (nefazodone ER) can be seen to be acting to control drug absorption in vivo. Times to maximum values for plasma concentrations are delayed relative to the conventional formulation. The maximum plasma level is blunted significantly (note we are comparing 200 mg of the ER to 100 mg IR and the peak level for the 200 mg ER dose is 60 to 70% of that for 100 mg of the IR formulation). This may be beneficial if the occasional difficulties in tolerance to the drug during the initial dosing period are associated with peak plasma levels. The blunting of peak plasma levels seen with the ER formulation would allow dosing to commence at higher doses than when initiating therapy with the IR product and will enable titration to an effective dose with fewer titration steps than with the IR product.

[0035] Maintenance of the bioavailability levels of nefazodone in combination with a reduction in metabolite levels for the ER formulation is contrary to expectation for a heavily metabolized drug formulated as an extended-release system. The reasonable maintenance of plasma levels of hydroxynefazodone and the dione metabolite (50-60% of the levels seen with the immediate-release tablet) might be important as these active metabolites might contribute to therapeutic efficacy. The reduction in levels of mCPP relative to the immediate-release formulation (25% of Cmax and 20% of AUC relative scaled directly to correct for dose differences) might be beneficial as this metabolite may be associated with some of the undesirable effects of nefazodone.

[0036] A comparative pharmacokinetic study in fed and tasted subjects was carried out employing a new nefazodone ER formulation (example 1). A remarkable and unexpected lack of a food effect was observed in contrast to what is seen with the standard conventional controlled-release nefazodone formulation. (See Table 4)

7

5

10

15

20

25

45

50

Table 4

Table 4			
Food Effect: Nefazodone ER v. Standard CR Nefazodone Nefazodone - ER			
Parameter			
cMax (ng/ml)			
-with food	195		
-without food	157		
AUC (ng.h/ml)	-		
-with food 1236			
-without food 1234			
The standard extended-release formulation (400 mg dose) gave the following data:			
Nefazodone - CR			
cMax (ng/ml)			
-with food 575			
-without food 131			
AUC (ng.h/ml)			
-with food	3514		
-without food	1496		

[0037] This lack of effect with the novel formulation described in example 1 provides convenience in terms of avoiding restrictions on how patients may be obliged to take their medication around mealtimes.

[0038] The nature of the formulation and the pH-modulated extended-release of nefazodone seen in *in vitro* experiments and the resultant *in vivo* drug release characteristics are important to the performance observed in terms of drug and metabolite levels, pharmacokinetic parameter values, and the lack of a food effect.

[0039] Typical formulations having the desired characteristics are described below.

[0040] In general, the present invention provides improved formulations for the oral administration of nefazodone, or a pharmaceutically acceptable salt, e.g. the hydrochloride salt, thereof. The improved formulations provide controlled/extended-release of nefazodone requiring from about 4 to 16 hours for complete drug release as measured using standard *in vitro* dissolution protocols employing test media in the pH 1.2 to 7.0 range. Typically, about 50% of the n fazodone should be released at 4 hours and about 85% at 8 hours at pH 7.0. Release rates are slower at lower pH values. These measurements are made using USP type 1 apparatus *in vitro* test conditions with a stirring speed of 200 rpm.

[0041] These improved controlled/extended-release oral pharmaceutical formulations containing nefazodone or a pharmaceutically acceptable salt thereof are characterized by:

- 1. requiring from 4 to 16 hours for virtually complete drug release as measured with standard *in vitro* testing at pH values in the 1.2 to 7.0 range;
- 2. nefazodone levels that are extended, relative to those seen with the immediate release formulation, and that are maintained at or above therapeutic levels for up to 24 hours;
- 3. providing reduced levels of nefazodone metabolites, particularly mCPP, but retaining similar levels of nefazodone itself compared to oral administration with immediate-release formulations; and
- 4. lacking a significant food effect on dosing.

10

15

25

30

50

[0042] Unless stated otherwise, all percentages given below are weight percents, based on total composition weight. All disclosures and references referred to herein are hereby incorporated by reference.

Example 1

[0043] Nefazodone hydrochloride (130 g) was blended with 65 g sodium alginate (9 cps grade, for example Manucol LD, Monsanto Performance Materials, Surrey, U.K.), 35.8 g hydroxypropylmethylcellulose 2208 USP 100 cps grade, 16.25 g of hydroxypropylmethylcellulose 2910 USP 5 cps grade and 65.13 g of microcrystalline cellulose NF for fifteen minutes in a suitable mixer. The drug/excipient blend was lubricated by addition of 4.8 g of magnesium stearate NF and mixing for a further five minutes. The resulting lubricated blend was compressed into tablets each weighing 485 mg and containing 200 mg of netazodone hydrochloride. The finished tablets were coated with an aqueous-based proprietary film coat composition Opadry white YS-1-18019.

Example 2

15

25

35

[0044] Nefazodone hydrochloride (400 g) was blended in a planetary mixer with 2009 sodium alginate (9 cps grade), 110 g hydroxypropylmethylcellulose 2208 USP 100 cps grade, 50 g of hydroxypropylmethylcellulose 2910 USP 5 cps grade and 100.2 g of microcrystalline cellulose NF. To this mixture 6.4 g magnesium stearate NF was added and the blend further mixed for five minutes. The mixture was passed through a roller compactor and the resultant ribbons of compacted material were passed through an oscillating granulator to produce free flowing granules. These granules were mixed with 100.2 g of microcrystalline cellulose in a planetary mixer. The product from this operation was lubricated by mixing with a further 3 2 g of magnesium stearate NF, operating the planetary mixer for a further three minutes after the addition of the magnesium stearate. This final blend was compressed into tablets each containing 200 mg of nefazodone hydrochloride.

Example 3

[0045] Sodium alginate 9 cps grade (80 g), 90 g of hydroxypropylmethylcellulose 2208 USP 100 cps grade, 200 g nefazodone hydrochloride and 100 3 g of microcrystalline cellulose USP were passed through an 800 micron aperture wire mesh screen into a planetary mixer bowl and the mixer operated for ten minutes. The screened blend was mixed with 1.6 g magnesium stearate NF for ten minutes. The mixture was passed through a roller compactor and the resultant ribbons of compacted material were passed through an oscillating granulator to produce free flowing granules. These granules were further mixed with 1.3 g magnesium stearate NF, employing a planetary mixer for three minutes. The final lubricated granules were compressed into tablets each containing 200 mg nefazodone hydrochloride.

Example 4

[0046] Nefazodone hydrochloride (437 g), 109.2 g sodium alginate 9 cps grade, 229.4 g hydroxypropylmethylcellulose 2208 USP 100 cps grade and 219.4 g microcrystalline cellulose NF were passed through an 800 micron aperture wire mesh screen into a planetary mixer bowl and blended for ten minutes. Magnesium stearate NF (3.32 g) was added to the contents of the mixer bowl, passing this through a 500 micron aperture mesh screen. The mixer was operated for a further three minutes to incorporate the magnesium stearate into the blend. This mixture was passed through a roller compactor and the resultant ribbons of compacted material were size reduced in an oscillating granulator to produce free flowing granules. These granules were further mixed with an additional 6.73 g of magnesium stearate NF. The final lubricated granules were compressed into tablets each containing 400 mg of nefazodone hydrochloride.

Example 5

[0047] Nefazodone hydrochloride (165 g). 82.5 g sodium alginate 9 cps grade, 132 g hydroxypropylmethylcellulose 2208 NF 100 cps grade, 33 g hydroxypropylmethylcellulose 2910 NF 5 cps grade and 82.7 g microcrystalline cellulose NF were mixed together in a small mixer for ten minutes. Magnesium stearate NF (4 g) was added to the blend with a further three minutes mixing. The resultant mixture was compressed into tablets each containing 100 mg of nefazodone hydrochloride.

Example 6

[0048] Hydroxypropylmethylcellulose 2208 NF 100 cps grade (90 g), 225 g nefazodone hydrochloride, 75 g sodium alginate 9 cps grade and 107.5 g microcrystalline cellulose NF were blended together in a small mixer for ten minutes.

. Magnesium stearate NF (5 g) was added and the mixer operated for a further three minutes. This blend was compressed into tablets each containing 300 mg nefazodone hydrochloride.

Example 7

15

20

30

40

45

50

55

[0049] Nefazodone hydrochloride (177 g), 85.5 g sodium alginate 9 cps grade, 141.6 g hydroxypropylmethylcellulose 2208 USP 100 cps grade and 88.7 g microcrystalline cellulose NF were blended together in a small mixer before adding 4 g magnesium stearate NF and mixing to incorporate this into the blend. This final mixture was incorporated into tablets each containing 100 mg nefazodone hydrochloride.

[0050] Reasonable variations, such as those that would occur to one of ordinary skill in the art, can be made herein without departing from the scope of the invention.

[0051] Drug release data from in vitro testing is shown for each of the above examples in Tables 5 to 11. The in vitro dissolution testing was conducted using USP apparatus 2, with rotor speed at 200 rpm; employing media pH of 2.0; 4.5; and 7.0. Nefazodone concentrations in the dissolution media were determined by UV spectroscopy.

Table 5					
In Vitro Re	In Vitro Release Data for Nefazodone from Formula-				
	tion Described				
	Time (hours)	% Nefazodone Released			
pH 2.0	1	11			
	2	18			
	3	23			
	4	28			
	6	38			
	8	50			
	10	59			
	12	67			
	15	80			
pH 4.5	1	22			
	2	36			
	3	48			
	4	59			
	6	78			
	8	93			
	10	100			
pH 7.0	1	39			
	2	67			
	3	86			
	4	100			
	6	100			

Table 6

5

	Table 0				
In Vitro Release Data for Nefazodone from Formula- tion Described in Example 2					
	Time (hours) % Nefazodone Released				
pH 1.2	1 .	11			
-	2	18			
	4	31			
	6	44			
	8	56			
	12 74				
	16	90			
pH 4.5	1	14			
	2	27			
	4	51			
	6	71			
	8	83			
	12	97			
	16	99			
pH 7.0	1	36			
	2	64			
	4	96			
	6	100			
	8	100			

Table 7

In Vitro Release Data for Nefazodone from Formula- tion Described in Example 3			
	Time (hours) % Nefazodone Released		
pH 2.0	1	11	
	2	19	
	4	34	
,	6	49	
	8	63	
	12	82	
	16	92	
pH 4.5	1	12	
	2	24	

Table 7 (continued)

In Vitro R	In Vitro Release Data for Nefazodone from F rmula- tion Described in Example 3			
	Time (hours) % Nefazodone Released			
	4	46		
	6	65		
	8	· 77		
	12	91		
	16	97		
pH 7.0	1	24		
	2	45		
	4	75		
	6	98		
	8	100		

Table 8

In Vitro Release Data for Nefazodone from Formula- tion Described in Example 4			
	Time (hours)	% Nefazodone Released	
pH 4 5	1	15	
	2	28	
	4	52	
6		72	
	8	88	
	12	98	
	16	99	
pH 70	1	24	
	2	47	
	4	82	
	6	103	
	8	105	

Table 9

In Vitro Release Data for Nefazodone from Formula- tion Described in Example 5				
Time (hours) % Nefazodon Released				
pH 4 5	1	16		

Table 9 (continued)

In Vitro Re	elease Data for N tion Described	lefazodone from Formula- in Example 5				
	Time (hours)	% Nefazodone Release				
	2	32				
	4	58				
	6 78					
	- 8	92				
	12	99				
	16	99				
pH 7.0	1	21				
	2	43				
	4	81				
	6	98				
,	8	99				

Table 10

In Vitro Re	In Vitro Release Data for Nefazodone from Formula- tion Described in Example 6								
	Time (hours) % Nefazodone Released								
pH 4.5	1	20							
	2	33							
	4	57							
	6 76								
	8	90							
	12	101							
	16	103							
pH 7.0	1	27							
	2	47							
	4	80							
	6	99							
	8	102							

Table 11

In Vitro R	elease Data for N tion Described	lefazodone from Formula- in Example 7						
Time (hours) % Nefazodone Re								
pH 4.5	1	16						
	2	31						
	4	58						
	6	78						
	8	91						
	12	101						
	16	102						
pH 7.0	1.	27						
	2	53						
	4	. 89						
	6	105						
	8	106						

Example 8

10

15

20

25

35

40

45

50

[0052] Tablets containing 200 mg nefazodone hydrochloride prepared in accord with either example 2 (treatment A) or example 3 (treatment B) were administered to two groups of human volunteers on a once daily basis for six days. The pooled groups of volunteers also received 100 mg immediate-release nefazodone tablets on a b.i.d. basis for six days in a separate leg of the study. Analysis of nefazodone hydrochloride and its metabolites in plasma drawn on day six at appropriate time intervals was undertaken. The following data was found and is expressed in Table 12 as nanograms/mL (standard deviation).

Table 12

In Vivo Release Data (Nefazodone and Metabolites): Formulation Comparison								
Time (hours)	urs) IR formulation ER treatment A ER treatment E							
0	79.47 (57.73)	101.0 (83.72)	88.45 (41.97)					
0.5	675.76 (385.60)	156.3 (124.65)	109.32 (50.06)					
1.0	610.65 (243.04)	241.77 (223.84)	139.82 (46.76)					
2	423.3 (197.83)	338.03 (253.68)	229.82 (70.71)					
2.5	462.88 (188.98)							
3	348.16 (173.38)	366.58 (311.69)	267.54 (84.83)					
3.5		317.61 (233.08)	263.07 (83.79)					
4	281.97 (153.41)	273.99 (206.99)	223.74 (63.51)					
4.5		275.86 (179.64)	249.19 (95.53)					
5		250.83 (187.64)	211.05 (64.63)					
6	185.82 (121.34)	211.24 (166.35)	175.01 (66.95)					

Table 12 (continued)

In Vivo Rel as Data (Nefazodone and Metabolites): Formulation Comparison							
Time (hours)	IR formulation						
8	111.08 (70.90)	154.57 (118.98)	144.5 (67.24)				
10		135.82 (130.35)	118.95 (46.52)				
12	55.33 (43.08)	125.6 (97.39)	113.12 (53.29)				
12.5	141.86 (114.90)						
13	273.15 (205.70						
14	350.55 (137.79)	133.54 (105.68)	116.89 (75.58)				
14.5	299.85 (174.61)						
15	278.84 (145.58)						
16	247.49 (156.29)	115.62 (87.11)	115.09 (84.21)				
20	123.19 (92.64)	79.63 (49.00)	73.32 (37.99)				
24	97.11 (54.81)	92.44 (57.31)	59.48 (59.48)				

[0053] The results obtained clearly show that at steady state the novel formulations that are the subject of this invention demonstrate excellent extended-release properties. It is particularly significant to demonstrate this effect for nefazodone, a drug whose pharmacokinetic properties on multiple dose administration are difficult to predict from data arising from single dose administration studies. Additionally ER treatment A was evaluated in patients dosed in the fed state and showed the same bioavailability relative to an immediate-release formulation as that seen in the fasted state. This has clear benefit in flexibility of dosing.

REFERENCES

[0054]

35

40

45

50

55

10

15

- 1) Greene, D., Barbhaiya, R. (1997) Clin. Pharmacokinet., 33, 260-275
- 2) Mayol, R.F., Cole, C.A., Luke, G.M., et al. (1994) Drug Metab. Dispos. 22, 304-311
- 3) Robinson, J.R., Lee, V.H.L. (1987) Controlled Drug Delivery: Fundamentals and Applications, Marcel Dekker Inc., USA
- 4) Kennett, G.A., Curzon, G (1991) Brit. J. Pharmacol., 103, 2016-2020
- 5) Murphy, D.L., Lesch, K.P., Aulakh, C.S., Pigott, T.A. (1991) Pharmacol. Rev., 43, 527-552
- 6) Baxter, G.G., Kennett, G.A., Blanney, F., Blackburn, T. (1995) Trends Pharmacol. Sci., 16, 105-110
- 7) Kennett, G.A., Wood, M.D., Glen, A., Grewal, S., Forbes, J., Gadre, A., Blackburn, T.P. (1994) <u>Brit. J. Pharmacol.</u>, 111, 797-802
- 8) Kennett, G.A., Whitton, P., Shah, K., Curzon, G. (1989) Eur. J. Pharmacol., 164, 445-454
- 9) Kennett, G.A. (1993) Curr. Opin. Invest. Drugs, 2, 317-362
- 10) Sugimoto, Y., Yamada, J., Yoshikawa, T., Horisaka, K. (1996) Eur. J. Pharmacol., 307, 75-80
- 11) Kaul, S., Shukla, U.A., Barbhaiya, R.H. (1995) J. Clin. Pharmacol., 35, 830-834
- 12) Barbhaiya, R.H., Buch, A.B., Greene, D.S. (1996) Brit. J. Clin. Pharmacol., 42, 573-81

Claims

10

15

25

35

45

- A controlled/extended-release pharmaceutical formulation for the oral delivery of nefazodone or a pharmaceutically acceptable salt thereof, comprising:
 - (a) requiring from about 4 to 16 hours for virtually complete drug release from the formulation as measured using standard in vitro dissolution protocols employing test media in the pH 1.2 to 7.0 range;
 - (b) providing, after oral administration, extended levels of nefazodone relative to those seen with immediate release formulations and these extended levels are maintained at or above therapeutic levels for up to 24 hours.
 - (c) providing, after oral administration, reduced amounts of nefazodone metabolites, particularly mCPP, while maintaining the amount of nefazodone at levels comparable to those seen following oral administration with immediate-release formulations; and
 - (d) ladung a significant food effect on oral dosing.
- 2. The formulation of claim 1 wherein the controlled/extended-release formulation is selected from the group consisting of netazodone HCI embedded in a matrix; formed into micropellets; or formed into coated micropellets.
- 3. A pharmaceutical formulation useful for making an oral controlled/extended-release nefazodone pH-modulated dosage form comprising
 - (a) from about 33 to 45 5 wt % nefazodone hydrochloride;
 - (b) from about 16 to 33 wt % of a non-ionic gelling polymer;
 - (c) from about 10 to 21 wt % of an ionic gelling polymer; and
 - (d) from about 16 to 22 wt % of an insoluble hydrophilic agent.
 - 4. The pharmaceutical formulation of claim 3 further containing suitable amounts of one or more pharmaceutically acceptable excipients
- The formulation of daim 4 wherein the excipient component includes at least one of: colorant, colloidal silica, and magnesium stearate
 - The formulation of claim 4 wherein the excipient component contains from about 0.2 to 1.5 wt % of magnesium stearate.
 - 7. The formulation of claim 3 wherein the non-ionic gelling polymer (b) is hydroxypropylmethylcellulose.
 - 8. The formulation of claim 3 wherein the ionic gelling polymer (c) is sodium alginate.
- 40 9. The formulation of claim 3 wherein the insoluble hydrophilic agent (d) is microcrystalline cellulose.
 - 10. The formulation of claim 7 wherein the hydroxypropylmethylcellulose has a viscosity from about 3 to 1000 cps.
 - 11. The formulation of claim 4 comprising:
 - (a) from about 40 to 45 wt % nefazodone hydrochloride;
 - (b) from about 16 to 20 wt % of hydroxypropylmethylcellulose;
 - (c) from about 19 to 22 wt % of sodium alginate;
 - (d) from about 19 to 22 wt % of microcrystalline cellulose; and
 - (e) from about 1 to 1.5 wt % of magnesium stearate.
 - 12. The formulation of claim 11 wherein the hydroxypropylmethylcellulose component is comprised of about one part by weight of 5 cps viscosity polymer and two parts by weight of 100 cps viscosity.
- 13. The pharmaceutical formulation according to any of claims 1, 3, 4 or 11 as an oral dosage form.
 - 14. The pharmaceutical formulation according to claim 13 wherein the oral dosage form is in the form of a film-coated tablet.

15.	The pharmaceu	utical formulat a medicame	ion accord	ding to any of o	claims 1 to 1	2 comprisin	g controlled/ex	tended-relea	ase nefazo-
16. Use of a pharmaceutical formulation according to any of claims 1 to 12 for the preparation of a controlled/extended-release antidepressant drug in an oral dosage form for a once daily dosing.									
							•		
						÷			
								·	

THIS PAGE BLANK (USPTO)



Europäisches Patentamt

European Patent Office

Office eur péen des brevets



EP 0 966 966 A3 (11)

(12)

EUROPEAN PATENT APPLICATION

- (88) Date of publication A3: 09.02.2000 Bulletin 2000/06
- (43) Date of publication A2: 29.12.1999 Bulletin 1999/52
- (21) Application number: 99110777.2
- (22) Date of filing: 04.06.1999

(51) Int. Cl.⁷: **A61 K 31/495**, A61 K 9/20, A61K 9/22

- (84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE **Designated Extension States:** AL LT LV MK RO SI
- (30) Priority: 05.06.1998 US 88211 P
- (71) Applicant: **BRISTOL-MYERS SQUIBB COMPANY** Princeton, NJ 08543-4000 (US)

- (72) Inventors:
 - · Dennis, Andrew B. Barnston, Wirral, Merseyside L60 1YD (GB)
 - · Timmins, Peter Irby, Wirral, Merseyside L61 4XD (GB)
 - · Hodsdon, Alison C. Sr.Leonard's-on-Sea, East Sussex TN38 9SN (GB)
- (74) Representative: **VOSSIUS & PARTNER** Siebertstrasse 4 81675 München (DE)

(54)Nefazodone dosage form

(57)Extended-release nefazodone compositions containing nefazodone hydrochloride, ionic and nonionic gelling polymers, an insoluble hydrophilic agent, and optional pharmaceutically acceptable excipients demonstrate pH-modulated release of nefazodone. These compositions are formulated into unit dosage forms for improved oral administration. The improvements comprise an extended drug release profile providing comparative levels of nefazodone with respect to immediate release dosage forms and, additionally, demonstrating the lack of a food effect.



PARTIAL EUROPEAN SEARCH REPORT

which under Rule 45 of the European Patent Convention EP 99 11 9777 shall be considered, for the purposes of subsequent proceedings, as the European search report

		DERED TO BE RELEVANT		L
ategory	Citation of document wit of relevant pe	h indication, where appropriate,	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Ind.CLE)
(EP 0 539 059 A (Si 28 April 1993 (199 * example 1 * * page 4, line 1 *	QUIBB & SOMS INC) 93-04-28) - line 10; claims 1,11-13	3-16	A61K31/495 A61K9/20 A61K9/22
D	28 December 1988 (OWARD JOHN R ET AL) (1988-12-20) 15 - column 5, line 6;	3-11	,
, D	WO 97 47285 A (DEF (US)) 18 December * claims 1,3,4 *	POMED INC ; SHELL JOHN W 1997 (1997-12-18)	3-11	
- 1	16 July 1996 (1996	-07-16)	3-11	
				TECHNICAL FIELDS SEARCHED (InLOLS)
				A61K
NCON	PLETE SEARCH			
Neime see	n Division sometime that the present with the EPO to such an eather the EPO, to such an eather the such, or earn only be earlied ent partie wheel completely; wheel completely;	I application, or one or more of its claims, doesn't is meaningful search into the state of the est ear dly, for these claims.	b Hot	
	Pearthed:			
	the limitation of the assemb; Sheet C			•
	Place of security	Date of completen of the search		Exemples
	THE HAGUE	1 December 1999	Nart	tin, E
X : partin	EGORY OF CITED DOOUMENTS rinty relevent if taken alone rinty relevent if combined with gool	T : theory ar principle of E : deriler patient close of the filing		ention ed on, or
	ent of the same adaptry ligited background Minn distinction	L: document clad for a	Ther reasons	

EPO FOTAL 18ta sa se (Poscot)



INCOMPLETE SEARCH SHEET C

Application Number EP 99 11 9777

Claim(s) not searched:

Reason for the limitation of the search:

Present claims 1 and 2 relate to a formulation defined by reference to a desirable characteristic or property, mentioned under a, b, c and d in claim 1. The claims cover all formulations having this characteristic or property, whereas the application provides support within the meaning of Article 84 EPC and/or disclosure within the meaning of Article 83 EPC for only a very limited number of such formulations. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 84 EPC). An attempt is made to define the formulations by reference to a result to be achieved. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the formulations in claims 3 to 16.

ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 99 11 8777

This samex lists the patent family members relating to the patent documents olded in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

01-12-1999

Patent documen cited in search rep		Publication clate		Palett family member(s)	Publication date
EP 0539059	A	28-04-1993	us	5169638 A	08-12-199
	**	40 41 1330	AT	161171 T	15-01-199
			AU	660193 B	15-06-199
			AU	2716792 A	
			CA	2081979 A	29-04-199
			DE		24-04-199
			DE		29-01-199
			DK	69223588 T 539859 T	25-86-199
			ES	2109986 T	26-01-199
			F1	924831 A	01-02-199
			GR		24-04-199
			JP	3026155 T	29-05-199
				5194195 A	03-68-199
			MX	9285920 A	01-06-199
		•	NO	364578 B	18-01-199
			NZ	244517 A	26-10-199
			ZA	9287433 A	07-04 -199
US 4792452	A	20-12-1988	AU	603904 B	29-11-199
		a- 32 23	ĂŨ	1828988 A	62-62-198
			ĈÃ	1328218 A	05-04-199
			DE	3825693 A	
•			FR	2618673 A	69-82-198 63-02-198
			GB	2207353 A.B	
			IE	615 0 3 B	01-02-198
			IT	1226464 B	82-11-199
			ĴP		16-91-199
			JP	1199134 A 2667214 B	18-04-198
			NZ	225101 A	27-10-199
			NZ 	553101 W	26-18-199
WO 9747285	A	18-12-1997	AU	3298397 A	07-01-199
US 5536507	A	16-07-1996	NONE		**********
US 5536507	A		NONE		07-01-1
		•			

For more details about this arriex; see Official Journal of the European Patent Office, No. 12/62

FORM TORRE